

FOURTH

~~THIRD~~

21 Civ. 00761 (LGS)

~~SECOND~~ AMENDEDUNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-X

CIVIL CASE
MANAGEMENT PLAN
AND SCHEDULING
ORDERHARRINGTON GLOBAL OPPORTUNITY
FUND, LIMITED,

Plaintiff,

-v-

CIBC WORLD MARKETS CORP., et al.,

Defendants.

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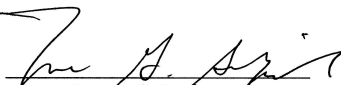
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X

Any struck-through date for which a
replacement date has not been
designated shall be decided jointly by the
parties. So Ordered.Dated: February 1, 2024
New York, New York

LORNA G. SCHOFIELD, United States District Judge:


LORNA G. SCHOFIELD
UNITED STATES DISTRICT JUDGE

This Civil Case Management Plan is submitted by the parties in accordance with Fed. R. Civ. P. 26(f)(3).

1. All parties [consent _____ / do not consent X] to conducting all further proceedings before a United States Magistrate Judge, including motions and trial. *See* 28 U.S.C. § 636(c). The parties are free to withhold consent without adverse substantive consequences. *[If all parties consent, the remaining paragraphs need not be completed.]*
2. The parties [have X / have not _____] conferred pursuant to Fed. R. Civ. P. 26(f).
3. This case is governed by one of the following sets of rules, and the parties' proposed dates in this order have been adjusted accordingly.
 - a. An employment case governed by the Initial Discovery Protocols for Employment cases? <https://nysd.uscourts.gov/hon-lorna-g-schofield>.
[Yes _____ / No X]
 - b. A case governed by Local Civil Rule 83.10, Plan for Certain § 1983 Cases Against the City of New York? <https://nysd.uscourts.gov/rules>.
[Yes _____ / No X]
 - c. A patent case subject to the Local Patent Rules and the Court's Individual Rules? <https://nysd.uscourts.gov/rules> and <https://nysd.uscourts.gov/hon-lorna-g-schofield>
[Yes _____ / No X]
 - d. A wage and hour case governed by Initial Discovery Protocols for Fair Labor Standards Act? <https://nysd.uscourts.gov/hon-lorna-g-schofield>.
[Yes _____ / No X]

4. Alternative Dispute Resolution/Settlement

- a. Settlement discussions [have _____ / have not X] taken place.
- b. Counsel for the parties have discussed an informal exchange of information in aid of early settlement and have agreed to exchange the following:

- c. Counsel for the parties have discussed the use of the following alternate dispute resolution mechanisms for use in this case: (i) a settlement conference before a Magistrate Judge; (ii) participation in the District's Mediation Program; and (iii) retention of a private mediator. Counsel for the parties propose the following alternate dispute resolution mechanism for this case:

- d. Counsel for the parties recommend that the alternate dispute resolution mechanism designated in paragraph 4(c) be employed at the following point in the case (*e.g.*, within the next 60 days; after the deposition of plaintiff is completed (specify date); after the close of fact discovery):

- e. **The use of any alternative dispute resolution mechanism does not stay or modify any date in this Order.**

5. No additional parties may be joined without leave of Court.

6. Amended pleadings may not be filed without leave of Court.

7. Initial disclosures pursuant to Fed. R. Civ. P. 26(a)(1) have already been completed.

8. Fact Discovery

- a. All fact discovery shall be completed no later than ~~January 5, 2024~~ ~~February 7, 2024~~ ^{May 10, 2024} ~~February 16, 2024~~. *[A period not to exceed 120 days, unless the Court finds that the case presents unique complexities or other exceptional circumstances.]*
- b. Initial requests for production of documents pursuant to Fed. R. Civ. P. 34 have already been served. Plaintiff intends to serve supplemental requests for production of documents pursuant to Fed. R. Civ. P. 34 by October 24, 2023. Defendants dispute that Plaintiff should be permitted to serve additional document requests.

Neither party shall serve upon each other any additional Requests for Production, Interrogatories, or Requests for Admission.

- c. Plaintiff's position is that responsive documents to existing requests shall be produced by ~~November 2, 2023~~, and responsive documents to such additional requests as may be permitted or agreed between the parties shall be produced by 30 days following the service of such requests. Defendants' position is that they have already responded to Plaintiff's document requests, but any additional responsive documents to existing requests, or such additional requests as may be permitted or agreed between the parties, shall be produced by ~~January 7, 2024~~. Do the parties anticipate e-discovery? [Yes X / No]
- d. Interrogatories pursuant to Fed. R. Civ. P. 33 shall be served by January 8, 2024.
- e. Depositions pursuant to Fed. R. Civ. P. 30, 31 shall be completed by February 7, 2024.
- f. Requests to admit pursuant to Fed. R. Civ. P. 36 shall be served by January 8, 2024.
- g. **Any of the deadlines in paragraphs 8(b) through 8(f) may be extended by the written consent of all parties without application to the Court, provided that all fact discovery is completed by the date set forth in paragraph 8(a).**
9. Expert Discovery [if applicable]
- a. Anticipated types of experts if any:
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- Damages Expert, Liability Expert
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- b. If you have identified types of experts in question 9(a), all expert discovery shall be completed no later than ~~May 15, 2024~~ ~~April 5, 2024~~ ~~May 17, 2024~~ August 9, 2024. *[Within 45 days from the date in paragraph 8(a), i.e., the completion of all fact discovery, absent exceptional circumstances.]* Omit if you have not identified types of experts.
- c. If you have identified types of experts in question 9(a), by ~~January 8, 2024~~ ~~December 8, 2023~~ ~~March 15, 2024~~ April 10, 2024 *[no later than one month before the date in paragraph 8(a), i.e., the completion of all fact discovery]* the parties shall meet and confer on a schedule for expert disclosures, including reports, production of underlying documents and depositions, provided that (i) expert report(s) of the party with the burden of proof shall be due before those of the opposing party's expert(s); and (ii) all expert discovery shall be completed by the date set forth in paragraph 9(b).
10. This case [is X / is not] to be tried to a jury.
11. Counsel for the parties have conferred and their present best estimate of the length of trial is 2 weeks.

12. Other issues to be addressed at the Initial Pretrial Conference, including those set forth in Fed. R. Civ. P. 26(f)(3), are set forth below:

13. Status Letters and Conferences

- a. Every 45 days beginning on October 10, 2023, the parties shall submit a joint status letter, as outlined in Individual Rule III.D.3.
~~January 10, 2024~~ ~~March 1, 2024~~ May 10, 2024
- b. By ~~February 21, 2024~~ [14 days after the close of fact discovery], the parties shall submit a joint status letter, as outlined in Individual Rule III.D.3 and, in the event that they have not already been referred for settlement discussions, shall also advise the Court whether or not they request a referral for settlement discussions as provided in Paragraph 4(c) above. August 28, 2024, at 4:20 P.M.
~~April 24, 2024, at 4:20 P.M.~~ ~~June 5, 2024, at 4:20 P.M.~~
- c. On ~~May 29, 2024~~ at ~~A.M.~~ [usually 14 days after the close of all discovery], a pre-motion conference will be held for any anticipated dispositive motions, provided:
 - i. A party wishing to file a summary judgment or other dispositive motion shall file a pre-motion letter **at least two weeks before the** conference and in the form provided in the Court's Individual Rule III.A.1. Any party wishing to oppose shall file a responsive letter as provided in the same Individual Rule. The motion will be discussed at the conference. To join the conference, the parties shall call (888) 363-4749 and use Access Code 558-3333. The time of the conference is approximate, but the parties shall be ready to proceed at that time.
 - ii. **If no pre-motion letter is timely filed, this conference will be canceled and the matter placed on the Court's trial-ready calendar.** The parties will be notified of the assigned trial-ready date and the filing deadlines for pretrial submissions. The parties are warned that any settlement discussions will not stay pretrial deadlines or the trial date.

This Order may not be modified or the dates herein extended, except as provided in paragraph 8(g) or by further Order of this Court for good cause shown. Any application to modify or extend the dates herein, except as provided in paragraph 8(g), shall be made in a written application in accordance with the Court's Individual Rules and shall be made no less than 2 business days prior to the expiration of the date sought to be extended.

Notwithstanding any other rules governing discovery or other deadlines, the parties shall follow the deadlines set forth herein unless expressly ordered otherwise by the Court.

The Clerk of Court is directed to enter the dates under paragraphs 5, 6, 8(a), 9(b)-(c) and 13(a)-(c) into the Court's calendar, and to schedule the conference under paragraph 13(c) as a Pre-Motion Conference, even though the conference will be held by telephone.

Counsel for the Parties:
